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APPLICATION FOR LETTERS PATENT

**System and Method for Robust Video Coding Using  
Progressive Fine-Granularity Scalable (PFGS) Coding**

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1      **RELATED APPLICATION(S)**

2      This is a continuation of U.S. Serial No. 09/454,489, filed on December 3,  
3      1999, which issued as U.S. Patent No. \_\_\_\_\_ on \_\_\_\_\_.

4      **TECHNICAL FIELD**

5      This invention relates to systems and methods for coding video data, and  
6      more particularly, to motion-compensation-based video coding schemes that  
7      employ fine-granularity layered coding.

9      **BACKGROUND**

10     Efficient and reliable delivery of video data is becoming increasingly  
11    important as the Internet continues to grow in popularity. Video is very appealing  
12    because it offers a much richer user experience than static images and text. It is  
13    more interesting, for example, to watch a video clip of a winning touchdown or a  
14    Presidential speech than it is to read about the event in stark print. Unfortunately,  
15    video data is significantly larger than other data types commonly delivered over  
16    the Internet. As an example, one second of uncompressed video data may  
17    consume one or more Megabytes of data. Delivering such large amounts of data  
18    over error-prone networks, such as the Internet and wireless networks, presents  
19    difficult challenges in terms of both efficiency and reliability.

20     To promote efficient delivery, video data is typically encoded prior to  
21    delivery to reduce the amount of data actually being transferred over the network.  
22    Image quality is lost as a result of the compression, but such loss is generally  
23    tolerated as necessary to achieve acceptable transfer speeds. In some cases, the  
24    loss of quality may not even be detectable to the viewer.

1           Video compression is well known. One common type of video  
2 compression is a motion-compensation-based video coding scheme, which is used  
3 in such coding standards as MPEG-1, MPEG-2, MPEG-4, H.261, and H.263.

4           One particular type of motion-compensation-based video coding scheme is  
5 fine-granularity layered coding. Layered coding is a family of signal  
6 representation techniques in which the source information is partitioned into a sets  
7 called “layers”. The layers are organized so that the lowest, or “base layer”,  
8 contains the minimum information for intelligibility. The other layers, called  
9 “enhancement layers”, contain additional information that incrementally improves  
10 the overall quality of the video. With layered coding, lower layers of video data  
11 are often used to predict one or more higher layers of video data.

12           The quality at which digital video data can be served over a network varies  
13 widely depending upon many factors, including the coding process and  
14 transmission bandwidth. “Quality of Service”, or simply “QoS”, is the moniker  
15 used to generally describe the various quality levels at which video can be  
16 delivered. Layered video coding schemes offer a range of QoSs that enable  
17 applications to adopt to different video qualities. For example, applications  
18 designed to handle video data sent over the Internet (e.g., multi-party video  
19 conferencing) must adapt quickly to continuously changing data rates inherent in  
20 routing data over many heterogeneous sub-networks that form the Internet. The  
21 QoS of video at each receiver must be dynamically adapted to whatever the  
22 current available bandwidth happens to be. Layered video coding is an efficient  
23 approach to this problem because it encodes a single representation of the video  
24 source to several layers that can be decoded and presented at a range of quality  
25 levels.

1        Apart from coding efficiency, another concern for layered coding  
2        techniques is reliability. In layered coding schemes, a hierarchical dependence  
3        exists for each of the layers. A higher layer can typically be decoded only when  
4        all of the data for lower layers is present. If information at a layer is missing, any  
5        data for higher layers is useless. In network applications, this dependency makes  
6        the layered encoding schemes very intolerant of packet loss, especially at the  
7        lowest layers. If the loss rate is high in layered streams, the video quality at the  
8        receiver is very poor.

9        Fig. 1 depicts a conventional layered coding scheme 20, known as "fine-  
10       granularity scalable" or "FGS". Three frames are shown, including a first or  
11       intraframe 22 followed by two predicted frames 24 and 26 that are predicted from  
12       the intraframe 22. The frames are encoded into four layers: a base layer 28, a first  
13       layer 30, a second layer 32, and a third layer 34. The base layer typically contains  
14       the video data that, when played, is minimally acceptable to a viewer. Each  
15       additional layer contains incrementally more components of the video data to  
16       enhance the base layer. The quality of video thereby improves with each  
17       additional layer. This technique is described in more detail in an article by  
18       Weiping Li, entitled "Fine Granularity Scalability Using Bit-Plane Coding of DCT  
19       Coefficients", ISO/IEC JTC1/SC29/WG11, MPEG98/M4204 (December 1998).

20       With layered coding, the various layers can be sent over the network as  
21       separate sub-streams, where the quality level of the video increases as each sub-  
22       stream is received and decoded. The base-layer video 28 is transmitted in a well-  
23       controlled channel to minimize error or packet-loss. In other words, the base layer  
24       is encoded to fit in the minimum channel bandwidth. The goal is to deliver and  
25       decode at least the base layer 28 to provide minimal quality video. The

1 enhancement 30-34 layers are delivered and decoded as network conditions allow  
2 to improve the video quality (e.g., display size, resolution, frame rate, etc.). In  
3 addition, a decoder can be configured to choose and decode a particular subset of  
4 these layers to get a particular quality according to its preference and capability.

5 One characteristic of the illustrated FGS coding scheme is that the  
6 enhancement layers 30-34 are coded from the base layer 28 in the reference  
7 frames. As shown in Fig. 1, each of the enhancement layers 30-34 in the predicted  
8 frames 24 and 26 can be predicted from the base layer of the preceding frame. In  
9 this example, the enhancement layers of predicted frame 24 can be predicted from  
10 the base layer of intraframe 22. Similarly, the enhancement layers of predicted  
11 frame 26 can be predicted from the base layer of preceding predicted frame 24.

12 The FGS coding scheme provides good reliability in terms of error  
13 recovery from occasional data loss. By predicting all enhancement layers from the  
14 base layer, loss or corruption of one or more enhancement layers during  
15 transmission can be remedied by reconstructing the enhancement layers from the  
16 base layer. For instance, suppose that frame 24 experiences some error during  
17 transmission. In this case, the base layer 28 of preceding intraframe 22 can be  
18 used to predict the base layer and enhancement layers of frame 24.

19 Unfortunately, the FGS coding scheme has a significant drawback in that  
20 the scheme is very inefficient from a coding standpoint since the prediction is  
21 always based on the lowest quality base layer. Accordingly, there remains a need  
22 for a layered coding scheme that is efficient without sacrificing error recovery.

23 Fig. 2 depicts another conventional layered coding scheme 40 in which  
24 three frames are encoded using a technique introduced in an article by James  
25 Macnicol, Michael Frater and John Arnold, which is entitled, "Results on Fine

1 Granularity Scalability", ISO/IEC JTC1/SC29/WG11, MPEG99/m5122 (October  
2 1999). The three frames include a first frame 42, followed by two predicted  
3 frames 44 and 46 that are predicted from the first frame 42. The frames are  
4 encoded into four layers: a base layer 48, a first layer 50, a second layer 52, and a  
5 third layer 54. In this scheme, each layer in a frame is predicted from the same  
6 layer of the previous frame. For instance, the enhancement layers of predicted  
7 frame 44 can be predicted from the corresponding layer of previous frame 42.  
8 Similarly, the enhancement layers of predicted frame 46 can be predicted from the  
9 corresponding layer of previous frame 44.

10 The coding scheme illustrated in Fig. 2 has the advantage of being very  
11 efficient from a coding perspective. However, it suffers from a serious drawback  
12 in that it cannot easily recover from data loss. Once there is an error or packet loss  
13 in the enhancement layers, it propagates to the end of a GOP (group of predicted  
14 frames) and causes serious drifting in higher layers in the prediction frames that  
15 follow. Even though there is sufficient bandwidth available later on, the decoder is  
16 not able to recover to the highest quality until another GOP start.

17 Accordingly, there remains a need for an efficient layered video coding  
18 scheme that adapts to bandwidth fluctuation and also exhibits good error recovery  
19 characteristics.

20

21 **SUMMARY**

22 A video encoding scheme employs progressive fine-granularity scalable  
23 (PFGS) layered coding to encode video data frames into multiple layers, including  
24 a base layer of comparatively low quality video and multiple enhancement layers  
25 of increasingly higher quality video. Some of the enhancement layers in a current

1 frame are predicted from at least one lower quality layer in a reference frame,  
2 whereby the lower quality layer is not necessarily the base layer.

3 In one described implementation, a video encoder encodes frames of video  
4 data into multiple layers, including a base layer and multiple enhancement layers.  
5 The base layer contains minimum quality video data and the enhancement layers  
6 contain increasingly higher quality video data. The prediction of some  
7 enhancement layers in a prediction frame is based on a next lower layer of a  
8 reconstructed reference frame. More specifically, the enhancement layers of  
9 alternating frames are predicted from alternating even and odd layers of preceding  
10 reference frames. For instance, the layers of even frames are predicted from the  
11 even layers of the preceding frame. The layers of odd frames are predicted from  
12 the odd layers of the preceding frame. This alternating pattern continues  
13 throughout encoding of the video bitstream.

14 Many other coding schemes are possible in which a current frame is  
15 predicted from at least one lower quality layer in a reference frame, which is not  
16 necessarily the base layer. For instance, in another implementation, each of the  
17 enhancement layers in the current frame is predicted using all of the lower quality  
18 layers in the reference frame.

19 Another implementation of a PFGS coding scheme is given by the  
20 following conditional relationship:

$$21 \\ 22 L \bmod N = i \bmod M \\ 23$$

24 where  $L$  designates the layer,  $N$  denotes a layer group depth,  $i$  designates the  
25 frame, and  $M$  denotes a frame group depth. Layer group depth defines how many

1 layers may refer back to a common reference layer. Frame group depth refers to  
2 the number of frames that are grouped together for prediction purposes. If the  
3 relationship holds true, the layer  $L$  of frame  $i$  is coded based on a lower reference  
4 layer in the preceding reconstructed frame. This alternating case described above  
5 exemplifies a special case where the layer group depth  $N$  and the frame group  
6 depth  $M$  are both two.

7 The coding scheme maintains the advantages of coding efficiency, such as  
8 fine granularity scalability and channel adaptation, because it tries to use  
9 predictions from the same layer. Another advantage is that the coding scheme  
10 improves error recovery because lost or erroneous higher layers in a current frame  
11 may be automatically reconstructed from lower layers gradually over a few  
12 frames. Thus, there is no need to retransmit the lost/error packets.

13

14 **BRIEF DESCRIPTION OF THE DRAWINGS**

15 The same numbers are used throughout the drawings to reference like  
16 elements and features.

17 Fig. 1 is a diagrammatic illustration of a prior art layered coding scheme in  
18 which all higher quality layers can be predicted from the lowest or base quality  
19 layer.

20 Fig. 2 is a diagrammatic illustration of a prior art layered coding scheme in  
21 which frames are predicted from their corresponding quality layer components in  
22 the intraframe or reference frame.

23 Fig. 3 is a block diagram of a video distribution system in which a content  
24 producer/provider encodes video data and transfers the encoded video data over a  
25 network to a client.

1      Fig. 4 is diagrammatic illustration of a layered coding scheme used by the  
2      content producer/provider to encode the video data.

3      Fig. 5 is similar to Fig. 4 and further shows how the number of layers that  
4      are transmitted over a network can be dynamically changed according to  
5      bandwidth availability.

6      Fig. 6 is similar to Fig. 4 and further shows how missing or error-infested  
7      layers can be reconstructed from a reference layer in a reconstructed frame.

8      Fig. 7 is a diagrammatic illustration of a macroblock in a prediction frame  
9      predicted from a reference macroblock in a reference frame according to a motion  
10     vector.

11     Fig. 8 is a flow diagram showing a method for encoding video data using  
12     the layered coding scheme illustrated in Fig. 4.

13     Fig. 9 is a block diagram of an exemplary video encoder implemented at  
14     the content producer/provider.

15     Fig. 10 is a flow diagram showing a method for encoding video data that is  
16     implemented by the video encoder of Fig. 10.

17     Figs. 11-13 are diagrammatic illustrations of other layered coding scheme  
18     that may be used by the content producer/provider to encode the video data.

19

20 **DETAILED DESCRIPTION**

21     This disclosure describes a layered video coding scheme used in motion-  
22     compensation-based video coding systems and methods. The coding scheme is  
23     described in the context of delivering video data over a network, such as the  
24     Internet or a wireless network. However, the layered video coding scheme has  
25     general applicability to a wide variety of environments.

1       Transmitting video over the Internet or wireless channels has two major  
2       problems: bandwidth fluctuation and packet loss/error. The video coding scheme  
3       described below can adapt to the channel condition and recover gracefully from  
4       packet losses or errors.

5

6       **Exemplary System Architecture**

7       Fig. 3 shows a video distribution system 60 in which a content  
8       producer/provider 62 produces and/or distributes video over a network 64 to a  
9       client 66. The network is representative of many different types of networks,  
10      including the Internet, a LAN (local area network), a WAN (wide area network), a  
11      SAN (storage area network), and wireless networks (e.g., satellite, cellular, RF,  
12      etc.).

13      The content producer/provider 62 may be implemented in many ways,  
14      including as one or more server computers configured to store, process, and  
15      distribute video data. The content producer/provider 62 has a video storage 70 to  
16      store digital video files 72 and a distribution server 74 to encode the video data  
17      and distribute it over the network 64. The server 74 has a processor 76, an  
18      operating system 78 (e.g., Windows NT, Unix, etc.), and a video encoder 80. The  
19      video encoder 80 may be implemented in software, firmware, and/or hardware.  
20      The encoder is shown as a separate standalone module for discussion purposes,  
21      but may be constructed as part of the processor 76 or incorporated into operating  
22      system 78 or other applications (not shown).

23      The video encoder 80 encodes the video data 72 using a motion-  
24      compensation-based coding scheme. More specifically, the encoder 80 employs a  
25      progressive fine-granularity scalable (PFGS) layered coding scheme. The video

1       encoder 80 encodes the video into multiple layers, including a base layer and one  
2       or more enhancement layers. "Fine-granularity" coding means that the difference  
3       between any two layers, even if small, can be used by the decoder to improve the  
4       image quality. Fine-granularity layered video coding makes sure that the  
5       prediction of a next video frame from a lower layer of the current video frame is  
6       good enough to keep the efficiency of the overall video coding.

7       The video encoder 80 has a base layer encoding component 82 to encode  
8       the video data into the base layer and an enhancement layer encoding component  
9       84 to encode the video data into one or more enhancement layers. The video  
10      encoder encodes the video data such that some of the enhancement layers in a  
11      current frame are predicted from at least one lower quality layer in a reference  
12      frame, whereby the lower quality layer is not necessarily the base layer. The video  
13      encoder 80 is described below in more detail with reference to Fig. 9.

14      The client 66 is equipped with a processor 90, a memory 92, and one or  
15      more media output devices 94. The memory 92 stores an operating system 96  
16      (e.g., a Windows-brand operating system) that executes on the processor 90. The  
17      operating system 96 implements a client-side video decoder 98 to decode the  
18      layered video streams into the original video. In the event data is lost, the decoder  
19      98 is capable of reconstructing the missing portions of the video from frames that  
20      are successfully transferred. Following decoding, the client plays the video via the  
21      media output devices 94. The client 26 may be embodied in many different ways,  
22      including a computer, a handheld entertainment device, a set-top box, a television,  
23      and so forth.

24

25      **Exemplary PFGS Layered Coding Scheme**

1 As noted above, the video encoder 80 encodes the video data into multiple  
2 layers, such that some of the enhancement layers in a current frame are predicted  
3 from at least one lower quality layer in a reference frame that is not necessarily the  
4 base layer. There are many ways to implement this PFGS layered coding scheme.  
5 One example is illustrated in Fig. 4 for discussion purposes and to point out the  
6 advantages of the scheme. Other examples are illustrated below with reference to  
7 Figs. 11-13.

8 Fig. 4 conceptually illustrates a PFGS layered coding scheme 100  
9 implemented by the video encoder 80 of Fig. 3. The encoder 80 encodes frames  
10 of video data into multiple layers, including a base layer and multiple  
11 enhancement layers. For discussion purposes, Fig. 4 illustrates four layers: a base  
12 layer 102, a first layer 104, a second layer 106, and a third layer 108. The upper  
13 three layers 104-108 are enhancement layers to the base video layer 102. The  
14 term layer here refers to a spatial layer or SNR (quality layer) or both. Five  
15 consecutive frames are illustrated for discussion purposes.

16 The number of layers is not a fixed value, but instead is based on the  
17 residues of a transformation of the video data using, for example, a Discrete  
18 Cosine Transform (DCT). For instance, assume that the maximum residue is 24,  
19 which is represented in binary format with five bits “11000”. Accordingly, for  
20 this maximum residue, there are five layers, including a base layer and four  
21 enhancement layers.

22 With coding scheme 100, higher quality layers are predicted from at least  
23 one lower quality layer, but not necessarily the base layer. In the illustrated  
24 example, except for the base-layer coding, the prediction of some enhancement  
25 layers in a prediction frame (P-frame) is based on a next lower layer of a

1 reconstructed reference frame. Here, the even frames are predicted from the even  
2 layers of the preceding frame and the odd frames are predicted from the odd layers  
3 of the preceding frame. For instance, even frame 2 is predicted from the even  
4 layers of preceding frame 1 (i.e., base layer 102 and second layer 106). The layers  
5 of odd frame 3 are predicted from the odd layers of preceding frame 2 (i.e., the  
6 first layer 104 and the third layer 106). The layers of even frame 4 are once again  
7 predicted from the even layers of preceding frame 3. This alternating pattern  
8 continues throughout encoding of the video bitstream. In addition, the correlation  
9 between a lower layer and a next higher layer within the same frame can also be  
10 exploited to gain more coding efficiency.

11 The scheme illustrated in Fig. 4 is but one of many different coding  
12 schemes. It exemplifies a special case in a class of coding schemes that is  
13 generally represented by the following relationship:

14

$$15 \quad L \bmod N = i \bmod M$$

16

17 where  $L$  designates the layer,  $N$  denotes a layer group depth,  $i$  designates the  
18 frame, and  $M$  denotes a frame group depth. Layer group depth defines how many  
19 layers may refer back to a common reference layer. Frame group depth refers to  
20 the number of frames that are grouped together for prediction purposes.

21 The relationship is used conditionally for changing reference layers in the  
22 coding scheme. If the equation is true, the layer is coded based on a lower  
23 reference layer in the preceding reconstructed frame.

24 The relationship for the coding scheme in Fig. 4 is a special case when both  
25 the layer and frame group depths are two. Thus, the relationship can be modified

1 to  $L \bmod N = i \bmod N$ , because  $N = M$ . In this case where  $N=M=2$ , when frame  $i$   
2 is 2 and layer  $L$  is 1 (i.e., first layer 104), the value  $L \bmod N$  does not equal that of  
3  $i \bmod N$ , so the next lower reference layer (i.e., base layer 102) of the  
4 reconstructed reference frame 1 is used. When frame  $i$  is 2 and layer  $L$  is 2 (i.e.,  
5 second layer 106), the value  $L \bmod N$  equals that of  $i \bmod N$ , so a higher layer  
6 (i.e., second enhancement layer 106) of the reference frame is used.

7 Generally speaking, for the case where  $N=M=2$ , this relationship holds that  
8 for even frames 2 and 4, the even layers (i.e., base layer 102 and second layer 106)  
9 of preceding frames 1 and 3, respectively, are used as reference; whereas, for odd  
10 frames 3 and 5, the odd layers (i.e., first layer 104 and third layer 108) of  
11 preceding frames 2 and 4, respectively, are used as reference.

12 The coding scheme affords high coding efficiency along with good error  
13 recovery. The proposed coding scheme is particularly beneficial when applied to  
14 video transmission over the Internet and wireless channels. One advantage is that  
15 the encoded bitstream can adapt to the available bandwidth of the channel without  
16 a drifting problem.

17 Fig. 5 shows an example of this bandwidth adaptation property for the same  
18 coding scheme 100 of Fig. 4. A dashed line 110 traces the transmitted video  
19 layers. At frames 2 and 3, there is a reduction in bandwidth, thereby limiting the  
20 amount of data that can be transmitted. At these two frames, the server simply  
21 drops the higher layer bits (i.e., the third layer 108 is dropped from frame 2 and  
22 the second and third layers 106 and 108 are dropped from frame 3). However  
23 after frame 3, the bandwidth increases again, and the server transmits more layers  
24 of video bits. By frame 5, the decoder at the client can once again obtain the  
25 highest quality video layer.

1       Another advantage is that higher video layers, which may not have  
2 successfully survived transmission or may have contained an error, may be  
3 recovered from lower layers. Fig. 6 shows an example in which the third and  
4 fourth layers of frame 3 are not correctly received at the receiving client. In this  
5 case, the third layer 106 of frame 3 may be reconstructed in part from the first  
6 layer 104 of preceding reference frame 2, as represented by the dashed arrow. As  
7 a result, there is no need for any re-encoding and re-transmission of the video  
8 bitstream. All layers of video are efficiently coded and embedded in a single  
9 bitstream.

10      Another advantage of the coding scheme is that it exhibits a very nice error  
11 resilience property when used for coding macroblocks. In error-prone networks  
12 (e.g., the Internet, wireless channel, etc.), packet loss or errors are likely to occur  
13 and sometimes quite often. How to gracefully recover from these packet losses or  
14 errors is a topic for much active research. With the layered coding scheme 100 of  
15 Fig. 4, it can be shown that as long as the base layer 102 does not have any packet  
16 loss or error, the packet losses/errors in the higher layers can always be gracefully  
17 recovered over a few frames without any re-transmission and drifting problem.

18      Fig. 7 shows an example in which a motion vector 120 of a macroblock  
19 (MB) 122 in a prediction frame points to a reference macroblock 124 in a  
20 reference frame. The reference MB 124 does not necessarily align with the  
21 original MB boundary in the reference frame. In a worst case, the reference MB  
22 124 consists of pixels from four neighboring MBs 126, 128, 130, and 132 in the  
23 reference frame.

24      Now, assume that some of the four neighboring MBs 126-132 have  
25 experienced packet loss or error, and each of them has been reconstructed to the

1 maximum error free layer. For example, MBs 126-132 have been reconstructed at  
2 layers M1, M2, M3, and M4, respectively. The reference MB 124 is composed by  
3 pixels from the reconstructed four neighbor MBs 126-132 in the reference frame at  
4 a layer equal to the minimum of the reconstructed layers (i.e.,  
5  $\min(M1, M2, M3, M4)$ ). As a result, the MB 122 being decoded in the prediction  
6 frame is decoded at a maximum layer equal to:

7

$$8 \quad 1 + \min(M1, M2, M3, M4)$$

9

10 As a result, no drifting error is introduced and an error-free frame is  
11 reconstructed over a few frames depending on the number of layers used by the  
12 encoder.

13 Fig. 8 shows a general layered coding process implemented at the server-  
14 side encoder 80 and client-side decoder 98. The process may be implemented in  
15 hardware and/or software. The process is described with reference to Fig. 3.

16 At step 150, the encoder 80 encodes each macroblock in a reference or  
17 intraframe (or “I-frame”) into different layers. With reference to Fig. 4, suppose  
18 that frame 1 is an I-frame, and the encoder 80 forms the base and three  
19 enhancement layers 102-108. At step 152, the encoder 80 encodes each predicted  
20 frame (or “P-frame”) into different layers. Suppose that frame 2 is a P-frame. The  
21 encoder 80 encodes the base layer 102 of frame 2 according to conventional  
22 techniques and encodes the enhancement layers 104-108 of frame 2 according to  
23 the relationship  $L \bmod N = i \bmod M$ .

24 At step 154, the encoder evaluates whether there are any more P-frames in  
25 the group of P-frames (GOP). If there are (i.e., the “yes” branch from step 154),

1 the next P-frame is encoded in the same manner. Otherwise, all P-frames for a  
2 group have been encoded (step 156).

3 The process continues until all I-frames and P-frames have been encoded,  
4 as represented by the decision step 158. Thereafter, the encoded bitstream can be  
5 stored in its compressed format in video storage 70 and/or transmitted from server  
6 74 over the network 64 to the client 66 (step 160). When transmitted, the server  
7 transmits the base layer within the allotted bandwidth to ensure delivery of the  
8 base layer. The server also transmits one or more enhancement layers according  
9 to bandwidth availability. As bandwidth fluctuates, the server transmits more or  
10 less of the enhancement layers to accommodate the changing network conditions.

11 The client 66 receives the transmission and the decoder 98 decodes the I-  
12 frame up to the available layer that successfully made the transmission (step 162).  
13 The decoder 98 next decodes each macroblock in each P-frame up to the available  
14 layers (step 164). If one or more layers were not received or contained errors, the  
15 decoder 98 attempts to reconstruct the layer(s) from the lower layers of the same  
16 or previous frame(s) (step 166). The decoder decodes all P-frames and I-frames in  
17 the encoded bitstream (steps 168-172). At step 174, the client stores and/or plays  
18 the decoded bitstream.

19

20 **Exemplary Video Encoder**

21 Fig. 9 shows an exemplary implementation of video encoder 80, which is  
22 used by server 74 to encode the video data files prior to distribution over the  
23 network 64 (Fig. 3). The video encoder 80 is configured to code video data  
24 according to the layered coding scheme illustrated in Fig. 4, where both the layer  
25 group depth  $N$  and the frame group depth  $M$  equal two.

1       Video encoder 80 has a base layer encoder 82 and an enhancement layer  
2 encoder 84, which are delineated by dashed boxes. It includes a frame separator  
3 202 that receives the video data input stream and separates the video data into I-  
4 frames and P-frames. The P-frames are sent to a motion estimator 204 to estimate  
5 the movement of objects from locations in the I-frame to other locations in the P-  
6 frame. The motion estimator 204 also receives as reference for the current input, a  
7 previous reconstructed frame stored in frame memory 0 as well as reference layers  
8 with different SNR (signal-to-noise ratio) resolutions stored in frame memories 0  
9 to n-1.

10      According to the coding scheme described above with respect to Fig. 4, the  
11 current layer is predicted from the next lower layer of a preceding reference  
12 reconstructed frame to make the motion prediction as accurate as possible. For  
13 example, enhancement layer  $j$  is predicted by layer  $j-1$  of the reference  
14 reconstructed frame stored in frame memory  $j-1$ . The motion estimator 204  
15 outputs its results to motion compensator 206. The motion estimator 204 and  
16 motion compensator 206 are well-known components used in conventional MPEG  
17 encoding.

18      In base layer coding, a displaced frame difference (DFD) between the  
19 current input and base layer of the reference reconstructed frame is divided into  
20 8x8 blocks. A block  $k$  of the DFD image in the base layer at a time  $t$  is given as  
21 follows:

$$\Delta f_{t,0}(k) = \sum_{x \in \text{block}(k)} \sum_{y \in \text{block}(k)} \|f_t(x,y) - \hat{f}_{t-1,0}(x-\Delta x, y-\Delta y)\|$$

1           The result  $\Delta f_{t,0}(k)$  is an 8x8 matrix whose element is a residue from motion  
2 compensation,  $f(x,y)$  is the original image at time  $t$ , and  $f_{t-1,0}(x,y)$  is a base layer of  
3 the reference reconstructed image at time  $t-1$ . The vector  $(\Delta x, \Delta y)$  is a motion  
4 vector of block  $k$  referencing to  $f_{t-1,0}(x,y)$ .

5           The residual images after motion compensation are transformed by a DCT  
6 (Discrete Cosine Transform) module 208 and then quantified by a quantification  
7 function  $Q$  at module 210. The bitstream of the base layer is generated by  
8 summing the quantified DCT coefficients using a variable length table (VLT) 212,  
9 as follows:

$$10 \quad B_0 = \sum_k VLT(Q(DCT(\Delta f_{t,0}(k))))$$

11           The base layers of the frames are also passed through an anti-quantified  
12 function  $Q^{-1}$  at module 214. Accordingly, the DCT coefficients in the base layer  
13 are:

$$14 \quad R_{t,0}(k) = Q_q^{-1}(Q_q(DCT(\Delta f_{t,0}(k))))$$

15           The result  $R_{t,0}(k)$  is an 8x8 matrix, whose element is a DCT coefficient of  
16  $\Delta f_{t,0}(k)$ . The DCT coefficients are passed to  $n$  frame memory stages. In all stages  
17 other than a base stage 0, the DCT coefficients are added to coefficients from the  
18 enhancement layer encoder 84. The coefficients are then passed through inverse  
19 DCT (IDCT) modules 216(0), 216(1), ..., 216( $n-1$ ) and the results are stored in  
20  
21  
22  
23  
24  
25

1 frame memories 218(0), 218(1), ..., 218(n-1). The contents of the frame  
2 memories 218 are fed back to the motion estimator 204.

3 With base layer coding, the residues of block  $k$  in the DCT coefficient  
4 domain are:

5

$$6 \Delta R_{t,0}(k) = DCT(\Delta f_{t,0}(k)) - R_{t,0}(k)$$

7

8 The enhancement layer encoder 84 receives the original DCT coefficients  
9 output from DCT module 208 and the quantified DCT coefficients from Q module  
10 210 and produces an enhancement bitstream. After taking residues of all DCT  
11 coefficients in an 8x8 block, the find reference module 220 forms run length  
12 symbols to represent the absolute values of the residue. The 64 absolute values of  
13 the residue block are arranged in a zigzag order into a one-dimensional array and  
14 stored in memory 222. A module 224 computes the maximum value of all  
15 absolute values as follows:

16

$$17 m = \max(\Delta R_{t,0}(k))$$

18

19 The minimum number of bits needed to represent the maximum value  $m$  in  
20 a binary format dictates the number of enhancement layers for each block. Here,  
21 there are  $n$  bit planes 226(1)-226(n) that are encode  $n$  enhancement layers using  
22 variable length coding (VLC).

23 The residual signal of block  $k$  of the DFD image in the enhancement layer  
24 at a time  $t$  is given as follows:

$$\Delta f_{t,i}(k) = \sum_{x \in \text{block}(k)} \sum_{y \in \text{block}(k)} \|f_t(x,y) - \hat{f}_{t-1,i-1}(x-\Delta x, y-\Delta y)\|$$

where  $1 \leq i \leq n$ . The encoding in the enhancement layer is as follows:

$$R_{t,i}(k) = 2^{n-i} \left[ DCT(\Delta f_{t,i}(k)) - \sum_{j=0}^{i-1} R_{t,j}(k) \right]_{2^{n-i}}$$

The bracketed operation [\*] is modular arithmetic based on a modulo value of  $2^{n-i}$ . After encoding the enhancement layer  $i$ , the residues in DCT coefficient domain are:

$$\Delta R_{t,i}(k) = DCT(\Delta f_{t,i}(k)) - \sum_{j=0}^{i-1} R_{t,j}(k)$$

The bitstream generated in enhancement layer  $i$  is:

$$B_i = \sum_k VLT \left( \left[ DCT(\Delta f_{t,i}(k)) - \sum_{j=0}^{i-1} R_{t,j}(k) \right]_{2^{n-i}} \right)$$

At time  $t$ , the summary value of DCT coefficient of block  $k$ , which is encoded in based layer and enhancement layers, is:

$$sum(k) = \sum_{i=0}^n R_{t,i}(k)$$

Fig. 10 shows an encoding process implemented by the video encoder of Fig. 9. At step 300, the video encoder distinguishes between an I-frame and a P-frame. For I-frame encoding, the video encoder generates the corresponding bitstream and updates the various frame memories 218(0)-218(n-1). For instance, the base layer is encoded and stored in frame memory 0 (steps 302 and 304). The enhancement layer 1 is coded and stored in frame memory 1 (steps 306 and 308). This continues for all enhancement layers 1 to n, with the coding results of enhancement layer n-1 being stored in frame memory n-1 (steps 310, 312, and 314).

For P-frame encoding, the video encoder performs motion compensation and transform coding. Both the base layer and first enhancement layer use the base layer in frame memory 0 as reference (steps 320 and 322). The coding results of these layers in the P-frame are also used to update the frame memory 0. The remaining enhancement layers in a P-frame use the next lower layer as reference, as indicated by enhancement layer 2 being coded and used to update frame memory 1 (step 324) and enhancement layer n being coded and used to update frame memory n-1 (step 326).

It is noted that the encoder of Fig. 9 and the corresponding process of Fig. 10 depict  $n$  frame memories 218(0)-218( $n$ -1) for purposes of describing the structure and clearly conveying how the layering is achieved. However, in implementation, the number of frame memories 218 can be reduced by almost

1 one-half. In the coding scheme of Fig. 4, for even frames (e.g., frames 2 and 4),  
2 only the even layers of the previous frame (e.g., 2<sup>nd</sup> layer 106 of frames 1 and 3)  
3 are used for prediction and not the odd layers. Accordingly, the encoder 80 need  
4 only store the even layers of the previous frame into frame memories for  
5 prediction. Similarly, for odd frames (e.g., frames 3 and 5), the odd layers of the  
6 previous frame (e.g., 1<sup>st</sup> and 3<sup>rd</sup> layers 102 and 108 of frames 2 and 4) are used for  
7 prediction and not the even layers. At that time, the encoder 80 stores only the  
8 odd layers into the frame memories for prediction. Thus, in practice, the encoder  
9 may be implemented with  $n/2$  frame buffers to accommodate the alternating  
10 coding of the higher enhancement layers. In addition, the encoder employs one  
11 additional frame memory for the base layer. Accordingly, the total number of  
12 frame memories required to implement the coding scheme of Fig. 4 is  $(n+1)/2$ .

13

14 **Alternative Coding Schemes**

15 The PFGS layered coding scheme described above represents one special  
16 case of a coding scheme that follows the  $L \bmod N = i \bmod M$  relationship.  
17 Changing the layer group depth L and the frame group depth M result in other  
18 coding schemes within this class.

19 Fig. 11 illustrates another example of a PFGS layered coding scheme 330  
20 from the class of schemes that follows the  $L \bmod N = i \bmod M$ . This scheme may  
21 be implemented by the video encoder 80 of Fig. 3.

22 In this illustration, the encoder 80 encodes frames of video data into six  
23 layers, including a base layer 332, a first layer 334, a second layer 336, a third  
24 layer 338, a fourth layer 340, and a fifth layer 342. Five consecutive frames are  
25 illustrated for discussion purposes.

1       Coding scheme 330 differs from coding scheme 100 in that the layer group  
2       depth  $N$  is three, rather than two, and frame group depth  $M$  remains at two. For  
3       layer 1 (i.e., first layer 334) of frame 2 in Fig. 11, the relationship  $L \bmod N = i$   
4        $\bmod M$  is false and hence a lower layer (i.e., base layer 332) of the reference  
5       reconstructed frame 1 is used. For layer 2 (i.e., second layer 336) of frame 2, the  
6       equation  $L \bmod N = i \bmod M$  is also false. Thus, the lower base layer 332 of  
7       frame 1 is again used as reference. For layer 3 (i.e., third layer 338) of frame 2,  
8       the relationship holds true, and thus a higher enhancement layer 3 (i.e., the third  
9       layer 338) in the reference reconstructed frame 1 is used.

10      Accordingly, in this example, every third layer acts as a reference for  
11     predicting layers in the succeeding frame. For example, the first and second layers  
12     of frames 2 and 5 are predicted from the base layer of respective reference frames  
13     1 and 4. The third through fifth layers of frames 2 and 5 are predicted from the  
14     third layer of reference frames 1 and 4, respectively. Similarly, the first through  
15     third layers of frame 3 are predicted from the first layer of preceding reference  
16     frame 2. The second through fourth layers of frame 4 are predicted from the  
17     second layer of preceding reference frame 3. This pattern continues throughout  
18     encoding of the video bitstream.

19      In addition to the class of coding schemes that follow the relationship  $L$   
20      $\bmod N = i \bmod M$ , the encoder 80 may implement other coding schemes in which  
21     the current frame is predicted from at least one lower quality layer that is not  
22     necessarily the base layer.

23      Fig. 12 shows another example of a PFGS layered coding scheme 350.  
24      Here, even frames 2 and 4 are predicted from the base and second layer of

1 preceding frames 1 and 3, respectively. Odd frames 3 and 5 are predicted from the  
2 base and third layer of preceding frames 2 and 4, respectively.

3 Fig. 13 shows another example of a PFGS layered coding scheme 360. In  
4 this scheme, each layer in the current frame is predicted from all lower quality  
5 layers in the previous frame.

6

7 **Conclusion**

8 Although the invention has been described in language specific to structural  
9 features and/or methodological steps, it is to be understood that the invention  
10 defined in the appended claims is not necessarily limited to the specific features or  
11 steps described. Rather, the specific features and steps are disclosed as preferred  
12 forms of implementing the claimed invention.

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